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Customer Number

24024

LORI YANEFF

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Dean R. Jones et al.)	Examiner: Not yet assigned
)	
Serial No.:	10/669,226)	Art Unit: Not yet assigned
)	
Filed:	September 24, 2003)	Confirmation No.: 2140
)	
For:	SLACK ADJUSTER WITH WEAR REDUCTION)	Attorney Docket No.: 28679/05413 (02-096 US)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I. Preliminary Statement

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. §1.97(g)), an admission that the information cited is, or is considered to be, material to patentability (37 C.F.R. §1.97(h)), or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

II. Identification of Time of Filing the Accompanying Information Disclosure Statement



The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application *or* before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). As such it is believed that there is no fee due.

- ☐ The information disclosure statement submitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.
- ☐ The information disclosure statement transmitted herewith is being filed *after* a final action under §1.113, or a notice of allowance under §1.311, whichever occurs first, but before or simultaneously with, the payment of the issue fee.

III. Non-English References

- ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material: _____.
- ☐ No English translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- ☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.
- ☐ Concise Explanation of Non-English Language Listed Information Items. (Attached)

IV. Fee

- ☐ Petition fee due (§1.17(p)): \$180.00
- ☐ Attached is a check in the amount of \$180.00.
- ☐ Authorization is hereby made to charge the amount of \$ _____ to Deposit Account No. 03-0172.
- ☒ Charge any additional fees required by this paper or credit any overpayment to Deposit Account No. 03-0172. A duplicate of this paper is attached.

Respectfully submitted,

Date: _____

August 10, 2004

Paul E. Szabo
 Paul E. Szabo
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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	10/669,226
Filing Date	September 24, 2003
First Named Inventor	Dean R. Jones
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	28679/05413

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 2,113,817	04/12/1938	Sneddon	
		US- 2,211,788	08/20/1940	Lucht	
		US- 2,815,253	12/03/1957	Spriggs	
		US- 2,864,341	12/13/1960	Doyle et al.	
		US- 3,008,779	11/14/1961	Spriggs	
		US- 3,236,573	02/22/1966	Donnellan	
		US- 3,502,380	03/24/1970	Adinoff	
		US- 4,421,211	12/20/1983	Hoffman et al.	
		US- 4,802,374	02/07/1989	Hamelin et al.	
		US- 4,913,562	04/03/1990	Rosen	
		US- 5,305,856	04/26/1994	Edwards	
		US- 5,477,945	12/26/1995	Klass et al.	
		US- 5,595,452	01/21/1997	Hill et al.	
		US- 6,257,085	07/10/2001	Bokamper et al.	
		US- 5,913,937	06/22/1999	Lin	
		US- 5,988,614	11/23/1999	Sturmon	
		US- 6,305,236	10/23/2001	Sturdevant	
		US- 2002/025092	02/28/2002	Maruyama et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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